

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Wayne V. Sorin et al.

Application No.: 10/040,526

Filed: December 28, 2001

For: ACOUSTO-OPTIC TUNABLE APPARATUS  
HAVING A FIBER BRAGG GRATING AND  
AN OFFSET CORE

Examiner: Wong, Eric K.

Art Unit: 2874

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

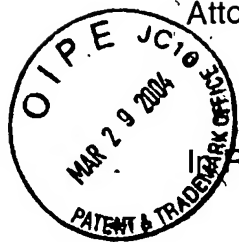
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Deborah A. McGovern

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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       A statement pursuant to 37 C.F.R. §1.97(e) or

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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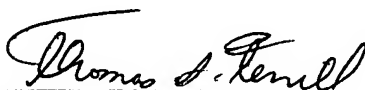
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3-24, 2004



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*(use as many sheets as necessary)*

Sheet 1 Of 2

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|------------------------|-------------------|
| Application Number     | 10/040,526        |
| Filing Date            | December 28, 2001 |
| First Named Inventor:  | Wayne V. Sorin    |
| Art Unit               | 2874              |
| Examiner Name          | Wong, Eric K.     |
| Attorney Docket Number | 5489P010          |

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|--|-----------------------------------|--|--|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup><br>(if known) |                                   |  |  |                |
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|-----------------------|--|-----------------|--|
| Examiner<br>Signature |  | Date Considered |  |
|-----------------------|--|-----------------|--|

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Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

[illegible]

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.